

Patent  
Attorney's Docket No. 033022-004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Yukio SHAKUDA

Application No.: 09/604,097

Filed: June 27, 2000

For: SEMICONDUCTOR LIGHT EMITTING  
DEVICE AND MANUFACTURING  
METHOD THEREFOR

Group Art Unit: 2874

Examiner: Unassigned

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**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [ ] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [ ] Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ [ ] A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

  
Ellen Marcie Emas  
Registration No. 32,131

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: March 6, 2002



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or statement is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

  
Ellen Marcie Emas  
Registration No. 32,131

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 ATTORNEY'S DKT NO.  
033022-004

 APPLICATION NO.  
09/604,097

 APPLICANT  
Yukio Shakuda

 FILING DATE  
June 27, 2000

 GROUP  
2874

MAR 06 2002

## U.S. PATENT DOCUMENTS

Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)
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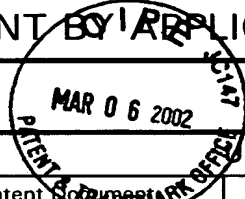
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## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country, where published.		
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Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

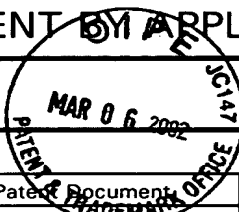
Substitute for form 1449A/PTO	ATTORNEY'S DKT NO. 033022-004	APPLICATION NO. 09/604,097
	APPLICANT Yukio Shakuda	
	FILING DATE June 27, 2000	GROUP 2874
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	



U.S. PATENT DOCUMENTS						
Examiner Initials	U.S. Patent Number		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)		
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Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
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NON PATENT LITERATURE DOCUMENTS						
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	APPLICANT	
	Yukio Shakuda	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE	GROUP
	June 27, 2000	2874



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